

# Introduction to symmetric cryptography

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École de printemps en codage et cryptographie  
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# Overview

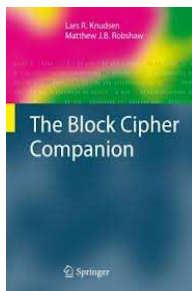
- **Introduction to symmetric-key cryptography**
- **Block ciphers**
- **Boolean functions and cryptographic Sboxes**
- **Attacks against block ciphers exploiting a low algebraic degree**
  - Algebraic attacks
  - Higher-order differential attacks
  - Integral attacks
- **Estimating the algebraic degree of iterated constructions**

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- **Boolean functions and cryptographic Sboxes**
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- **Estimating the algebraic degree of iterated constructions**

# Bibliography

- *The Block Cipher Companion*, Lars Knudsen and Matt Robshaw



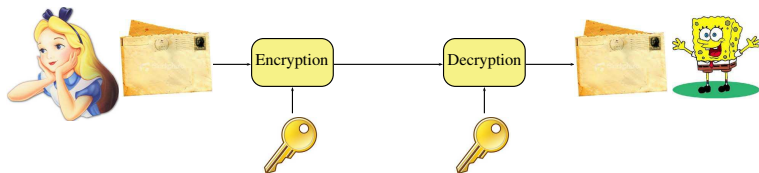
- *Lecture Notes on Cryptographic Boolean Functions*, Anne Canteaut
- *Analyse de Fonctions de Hachage Cryptographiques*, Thèse, Christina Boura

# Outline

- 1 Introduction to symmetric-key cryptography

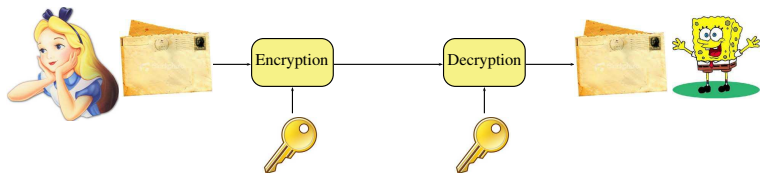
# Symmetric-key encryption

Alice and Bob exchange the secret key through a **secure channel**.



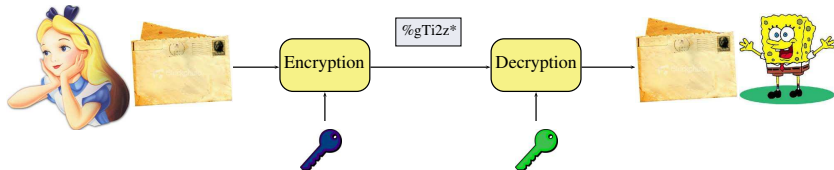
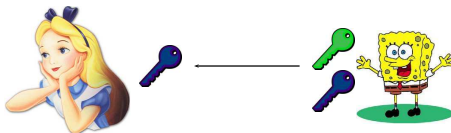
# Symmetric-key encryption

Alice and Bob exchange the secret key through a **secure channel**.



Key-exchange problem  $\Rightarrow$  birth of the public-key cryptography.

# Public-key encryption



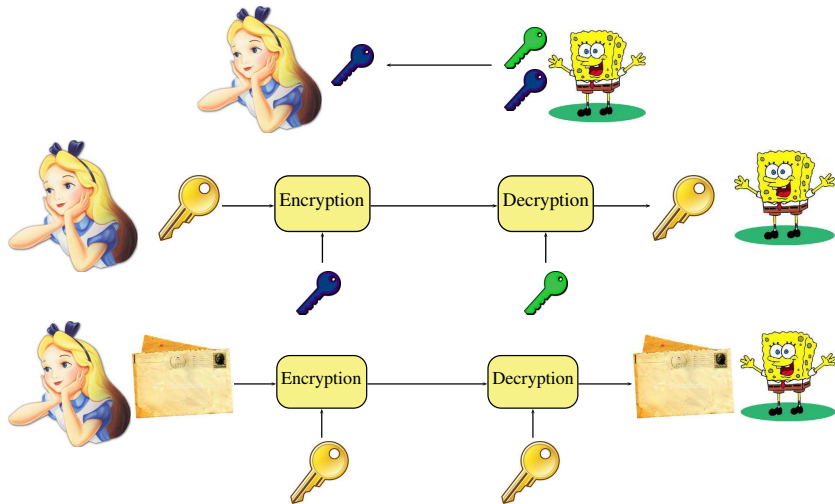


## Advantages and disadvantages of each system

	Advantages	Disadvantages
Secret-key	<p>Fast systems</p> <p>Relatively short-keys</p>	<p>Need secure key-exchange</p> <p><math>n</math> users: <math>\frac{n(n-1)}{2}</math> keys</p>
Public-key	<p>No key-exchange needed</p> <p><math>n</math> users: <math>2n</math> keys</p>	<p>Slow systems</p> <p>Relatively long-keys</p>

# Hybrid encryption

**Idea:** Use a combination of asymmetric and symmetric encryption to benefit from the strengths of every system.



# Hybrid encryption

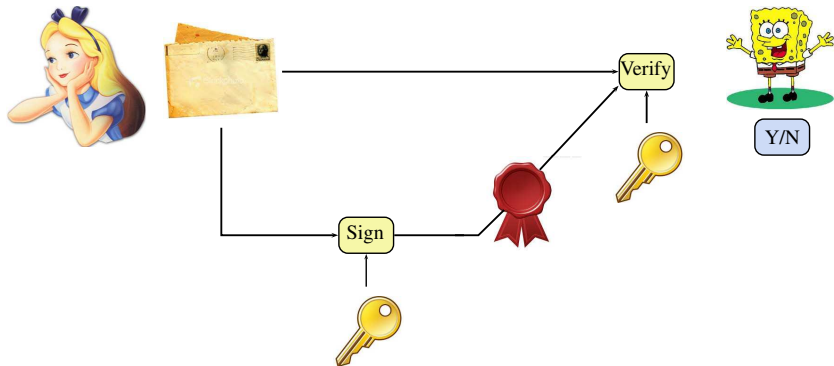
- Use a public-key cryptosystem to exchange a key (session key).
- Use the exchanged key to encrypt data by using a symmetric-key cryptosystem.

## Advantages:

- Slow public-key cryptosystem is used to encrypt a short string only.
- Fast symmetric-key cryptosystem is used to encrypt the longer communication session.

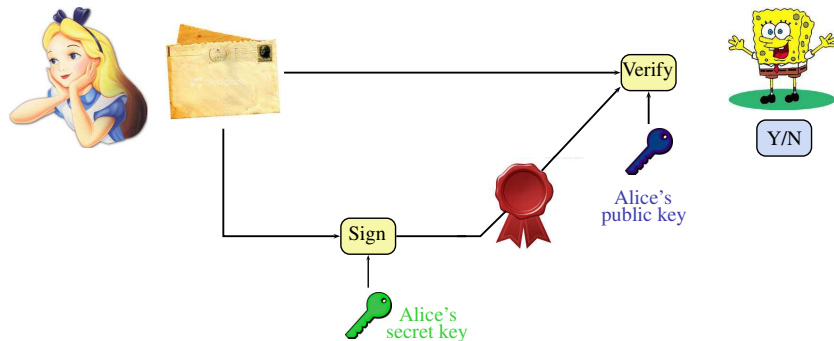
# Symmetric-key authentication

## Message authentication code (MAC)



# Public-key authentication

## Digital signatures



# Hash functions

If the message to sign is long, the signing process becomes heavy...

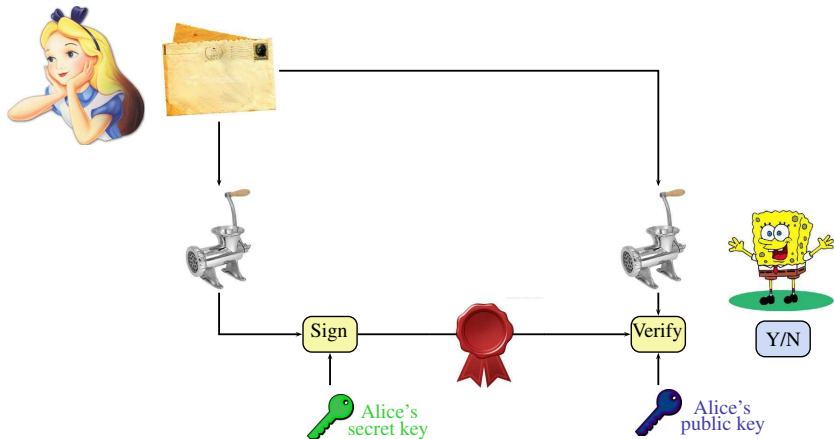
**Idea:** Use a cryptographic **hash function**.

$$H : \{0, 1\}^* \rightarrow \{0, 1\}^n$$

- A good hash function should be preimage, second-preimage and collision resistant.
- In recent hash proposals:  $n = 256, 512$

Hash functions are considered as symmetric-key functions because they use **similar building blocks** with block-ciphers.

# Hash and sign



# The best of the two worlds

- **Secrecy**: Hybrid encryption
- **Authentication**: Digital signatures with hashing

There is a **need for both** public and symmetric-key cryptosystems.

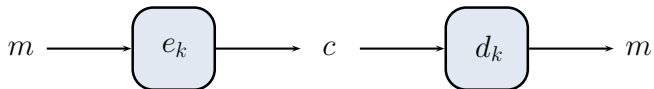


# Symmetric-key cryptosystems

A **cryptosystem** is a five-tuple  $(\mathcal{P}, \mathcal{C}, \mathcal{K}, \mathcal{E}, \mathcal{D})$

- $\mathcal{P}$ : set of possible **plaintexts**
- $\mathcal{C}$ : set of possible **ciphertexts**
- $\mathcal{K}$ : set of possible **keys**
- For each  $k \in \mathcal{K}$ , there is an encryption rule  $e_k \in \mathcal{E}$  and a decryption rule  $d_k \in \mathcal{D}$ .

For each  $k \in \mathcal{K} : d_k(e_k(m)) = m$ , for every  $m \in \mathcal{P}$ .



## Kerckhoffs's principle (1883)

In 1883 **August Kerckhoffs** stated 6 design principles for **military ciphers**.  
The 2nd principle states:

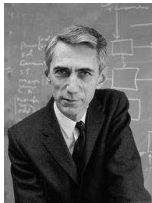
*A cryptosystem should be secure even if everything about the system, except the key, is public knowledge.*

Reformulated by **Claude Shannon** as

*“The enemy knows the system.”*

i.e., *“One ought design systems under the assumption that the enemy will immediately gain full familiarity with them.”*

# Claude Shannon's theory



“Communication Theory of Secrecy Systems”, published in 1949.

Many fundamental ideas of modern cryptography are introduced there:

- Provable security.
- Confusion and diffusion.
- Product ciphers.

# Shannon's idea of perfect secrecy

“No information about the plaintext can be obtained by observing the ciphertext”.

Shannon's definition:

A cryptosystem has **perfect secrecy** if

$$Pr(m|c) = Pr(m) \text{ for all } m \in \mathcal{P}, c \in \mathcal{C}.$$

An equivalent formulation:

$$Pr(c|m) = Pr(c) \text{ for all } m \in \mathcal{P}, c \in \mathcal{C}.$$

# Shannon's theorem

A cryptosystem where  $|\mathcal{P}| = |\mathcal{C}| = |\mathcal{K}|$  provides **perfect secrecy** iff

- 1  $Pr_{\mathcal{K}}(k) = 1/|\mathcal{K}|, \forall k \in \mathcal{K}$
- 2  $\forall m \in \mathcal{P}, c \in \mathcal{C}$ , exists unique  $k$  such that  $e_k(m) = c$ .

**Fact:**

If  $|\mathcal{P}| > |\mathcal{K}|$  then **no scheme is perfectly secure**.

# The Vernam Cipher or One-time Pad

## One-time Pad

Let  $n \geq 1$  and  $\mathcal{P}, \mathcal{C}, \mathcal{K} = \{0, 1\}^n$ . If  $m = (m_1, \dots, m_n) \in \mathcal{P}$  and  $k = (k_1, \dots, k_n) \in \mathcal{K}$  then

$$c = e_k(m) = (m_1 \oplus k_1, \dots, m_n \oplus k_n).$$

**Decryption:**  $d_k(c) = c \oplus k = m \oplus k \oplus k = m$

The One-time Pad provides perfect secrecy if used correctly:

- All keys are equally likely.
- Each key is used only once.

## Two-time Pad

$$c \oplus c' = (m \oplus k) \oplus (m' \oplus k) = m \oplus m'.$$

# The One-time Pad is perfectly secure but...

- The secret key must be **as long as the message**.
- A **new key** has to be generated for **each communication**.
- These long keys have to be **exchanged in a secure way**.
- Problem of generating **truly random** sequences for the key.

# Confusion and diffusion

**Diffusion:** Each digit of the plaintext and each digit of the secret key should influence many digits of the ciphertext.

**Confusion:** The ciphertext statistics should depend on the plaintext statistics in a manner too complicated to be exploited by the cryptanalyst.

**Idea:** Use **permutations** to attain **diffusion** and **substitutions** to attain **confusion**.

→ **Product Ciphers**



# Security notions

- Perfectly secret system: the key has to be at least **as long as the message**.

All cryptosystems used in practice **can theoretically be broken**.

Symmetric-key approach:

Try to make the system **secure against all known attacks**.

- No attack should be faster than **exhaustive search** on the key.

## Exhaustive search

Expected time to recover a  $\kappa$ -bit key:  $2^{\kappa-1}$  operations.

$\kappa$ (bits)	Time complexity (operations)	Security
40	$2^{40}$	easy to break
64	$2^{64}$	practical to break
80	$2^{80}$	not currently feasible
128	$2^{128}$	very strong
256	$2^{256}$	exceptionally strong

Table from [Knudsen, Robshaw, "The Block Cipher Companion", 2011.]

- The universe is less than  $2^{80}$  microseconds old!
- The number of the protons in the universe is  $\approx 2^{265}$ .

# Cryptanalysis of an encryption scheme

Different **attack models**:

- Ciphertext-only attack.
- Known-plaintext attack.
- Chosen-plaintext/ciphertext attack.
- Adaptively chosen-plaintext/ciphertext attack.

The **performance** of an attack is measured by its:

- **time** complexity.
- **data** complexity.
- **memory** complexity.

# Symmetric encryption schemes

## Stream ciphers

- Combine (XOR) plaintext bits with a keystream generated by a pseudo-number generator.
- Keystream should have good statistical properties.
- **Advantages:** Performance and low hardware complexity.

## Block ciphers

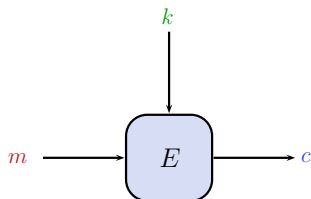
- Operate on blocks of data.
- Probably the best understood symmetric primitives.
- Can be used to build hash functions, stream ciphers, MACs, authenticated encryption algorithms, PRNGs...

# Block ciphers

Encrypt a block of **message**  $m$  into a block of **ciphertext**  $c$  under the action of the **key**  $k$ .

$$E : \{0, 1\}^n \times \{0, 1\}^k \rightarrow \{0, 1\}^n$$

$$(m, k) \mapsto E(m, k) = c$$

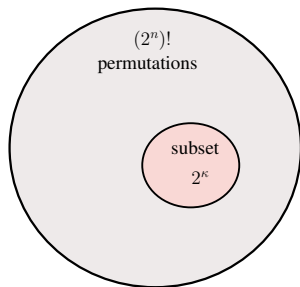


- Given  $k$ , it must be easy to compute  $c$  from  $m$ .
- Given  $m, c$  it must be hard to compute  $k$  such that  $E(m, k) = c$ .

Two important parameters:

- block size,  $n$
- key size,  $\kappa$

A block cipher generates a family of permutations indexed by a key  $k$ .



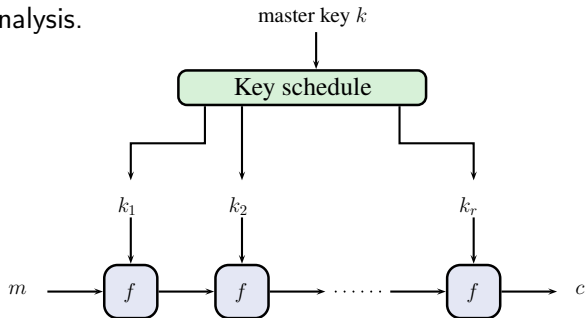
**Ideal design:**  $2^\kappa$  permutations chosen uniformly at random from all  $2^n! \approx 2^{(n-1)2^n}$  permutations.

# Iterated block ciphers

**Idea:** Iterate a round function  $f$  several times. The function  $f^r$  is waited to be strong for large  $r$ .

## Advantages:

- Compact implementation.
- Easier analysis.



Use a **key schedule** to extend the user-supplied (or master) key to a sequence of  $r$  subkeys.

# How to build the round function?

Two major approaches:

- Feistel network.
- Substitution-Permutation Network (SPN).

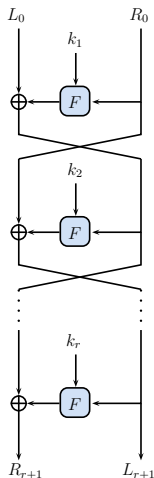


# Feistel Network

Introduced by **Horst Feistel** in the early 70's.

- Split **plaintext block**:  $m = (L_0, R_0)$
- For each round  $i = 0, \dots, r$  do:
  - $L_{i+1} = R_i$
  - $R_{i+1} = L_i \oplus F(R_i \oplus k_{i+1})$
- **Ciphertext block**  $c = (R_{r+1}, L_{r+1})$

## Encryption



# Feistel Network

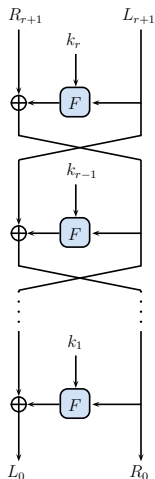
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- Split ciphertext block:  $c = (R_{r+1}, L_{r+1})$
- For each round  $i = r, \dots, 0$  do:
  - $R_i = L_{i+1}$
  - $L_i = R_{i+1} \oplus F(L_{i+1} \oplus k_{i+1})$
- Plaintext block  $m = (L_0, R_0)$

Decryption with  $K = (k_1, \dots, k_r)$  equals encryption with  $K' = (k_r, \dots, k_1)$ .

→  $F$  has not to be invertible.

## Decryption



# Data Encryption Standard (DES)

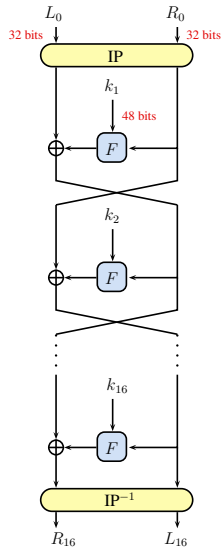
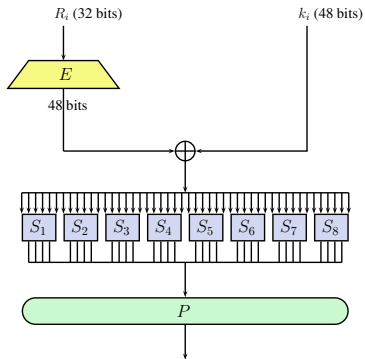
The first and probably most famous Feistel cipher.

Designed by IBM and published in 1975.

- Based on an earlier internal design called *Lucifer*.
- 1977: DES is published as a FIPS standard [FIPS 46].

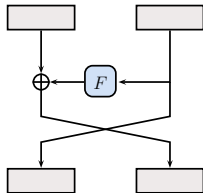
## DES

- Block size: 64 bits
- Key size: 56 bits
- 16 rounds

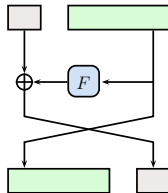


## Generalized Feistel Networks

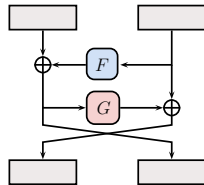
Classical Feistel



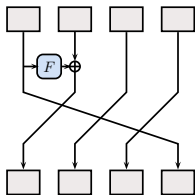
Unbalanced Feistel



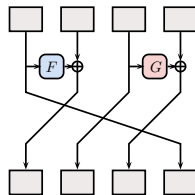
Alternating Feistel



Type-1 Feistel



Type-2 Feistel



# Structrural properties of DES

## The Complementation Property

$$\overline{\text{DES}}_k(m) = \text{DES}_{\overline{k}}(\overline{m})$$

where  $\overline{x} :=$  bitwise complement of  $x$

- Limited impact to the security in the classical model.
- Halves the cost of the exhaustive key search.

Encrypt  $m$  and  $\overline{m}$ :  $c = \text{DES}_k(m)$  and  $c' = \text{DES}_k(\overline{m})$

For each candidate  $t$ , compute  $d = \text{DES}_t(m)$ .

- Check if  $d = c \rightarrow t$  candidate for  $k$ .
- Check if  $\overline{d} = c'$  ( $\overline{d} = \text{DES}_{\overline{t}}(\overline{m})$ )  $\rightarrow \overline{t}$  candidate for  $k$ .

# Structrural properties of DES

## Weak keys

$$k \text{ weak: } \text{DES}_k(\text{DES}_k(m)) = m.$$

- 4 weak keys were found for DES.

Each weak key has  $2^{32}$  **fixed points**  $m : \text{DES}_k(m) = m$ .

# Breaking DES

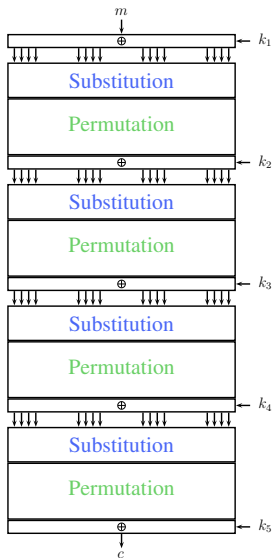
- 1992 : **Differential cryptanalysis** (theoretical attack,  $2^{47}$  chosen plaintexts).
- 1994 : **Linear cryptanalysis** (practical attack, a DES key is recovered).
- 1997: **DESMALL Project** (brute-force project over the net). A message encrypted with DES is broken for the first time.
- 1999: **Deep Crack** and **distributed.net** break a DES key in less than 23 hours.
- 2004: **The standard is withdrawn.**

Key-length too short!!!

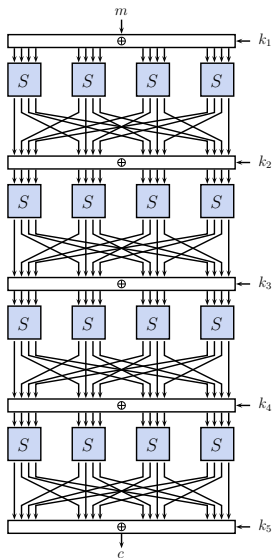
DES still survives via its Triple-DES form.



# Substitution Permutation Network (SPN)



## Substitution Permutation Network (SPN)



# The Advanced Encryption Standard (AES) Competition [1997-2000]

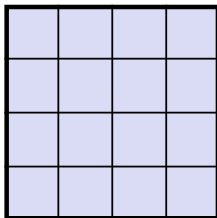
- On January 2, 1997 the NIST announced that they wished a successor to DES (to be known as AES).
- Public competition, inputs from the cryptographic community.
- Requirements: Block size of 128 bits, key size of 128, 192, 256 bits, security of 2-key triple-DES as minimum.
- 21 submissions (15 accepted for the 1st round)
- 5 finalists (Rijndael, Serpent, Twofish, RC6, MARS)
- On October 2, 2000, Rijndael becomes the AES.
- 2001: Standardization [FIPS 197]

# AES

Designed by **Joan Daemen** and **Vincent Rijmen**.

**Structure:** **Byte-oriented Substitution-Permutation** Network.

- **State:** 128 bits, seen as a  $4 \times 4$  matrix of bytes.
- 3 **key-lengths:** 128, 192, 256 bits
- **Number of rounds:** 10, 12, 14 rounds resp.



# AES Representation

Each **byte** is viewed in two different ways:

- string of 8 bits  $(b_7, b_6, b_5, b_4, b_3, b_2, b_1, b_0)$  ( $8^{\text{th}}$ -dim vector over  $\mathbf{F}_2$ )
- An element of the finite field with  $2^8$  elements  $\mathbf{F}_{2^8}$

$$b_7X^7 + b_6X^6 + b_5X^5 + b_4X^4 + b_3X^3 + b_2X^2 + b_1X^1 + b_0$$

**Irreducible polynomial**  $R_P$

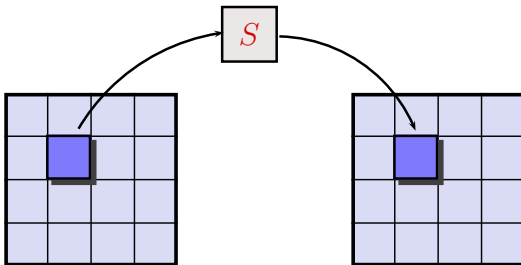
$$R_P = X^8 + X^4 + X^3 + X + 1$$

# An AES round

Four byte-oriented transformations.

- SubBytes
- ShiftRows
- MixColumns
- AddRoundKey

# SubBytes



## The AES Sbox

$$S : \mathbf{F}_{2^8} \rightarrow \mathbf{F}_{2^8}$$

$$x \mapsto x^{-1}$$

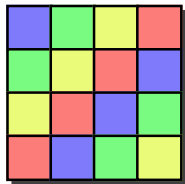
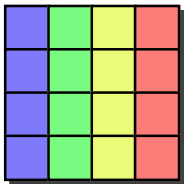
followed by an affine transformation on  $\mathbf{F}_2^8$ :

$$\begin{pmatrix} y_0 \\ y_1 \\ y_2 \\ y_3 \\ y_4 \\ y_5 \\ y_6 \\ y_7 \end{pmatrix} = \begin{pmatrix} 1 & 0 & 0 & 0 & 1 & 1 & 1 & 1 \\ 1 & 1 & 0 & 0 & 0 & 1 & 1 & 1 \\ 1 & 1 & 1 & 0 & 0 & 0 & 1 & 1 \\ 1 & 1 & 1 & 1 & 0 & 0 & 0 & 1 \\ 1 & 1 & 1 & 1 & 1 & 0 & 0 & 0 \\ 0 & 1 & 1 & 1 & 1 & 1 & 0 & 0 \\ 0 & 0 & 1 & 1 & 1 & 1 & 1 & 0 \\ 0 & 0 & 0 & 1 & 1 & 1 & 1 & 1 \end{pmatrix} \begin{pmatrix} x_0 \\ x_1 \\ x_2 \\ x_3 \\ x_4 \\ x_5 \\ x_6 \\ x_7 \end{pmatrix} + \begin{pmatrix} 1 \\ 1 \\ 0 \\ 0 \\ 0 \\ 1 \\ 1 \\ 0 \end{pmatrix}$$

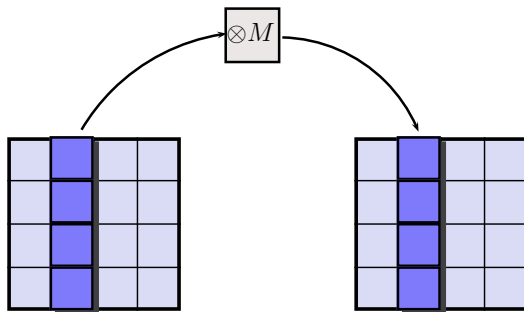
- Good resistance against **differential** and **linear** cryptanalysis.



## ShiftRows

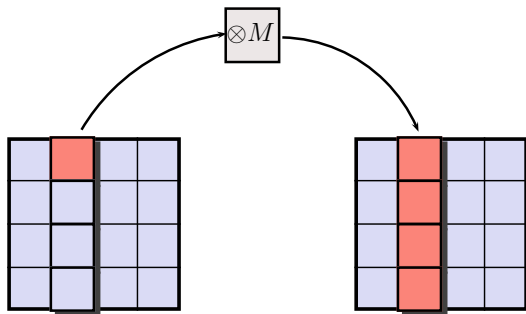


## MixColumns



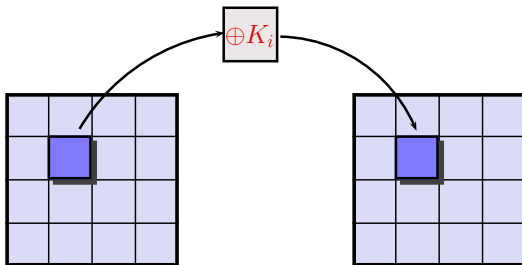
$$\begin{pmatrix} 02 & 03 & 01 & 01 \\ 01 & 02 & 03 & 01 \\ 01 & 01 & 02 & 03 \\ 03 & 01 & 01 & 02 \end{pmatrix} \begin{pmatrix} x_0 \\ x_1 \\ x_2 \\ x_3 \end{pmatrix} = \begin{pmatrix} y_0 \\ y_1 \\ y_2 \\ y_3 \end{pmatrix}$$

## MixColumns



- MDS matrix.
- Branch number =  $\min_{x \in \mathbf{F}_2^8} (HW(x) + HW(M(x))) = 5$ .

# AddRoundKey



- Lightweight non-linear key-schedule (memory, performance)

# Cryptanalysis of AES

- 2000 Integral attacks
- 2002 Algebraic attacks: AES is claimed to be broken. Proved to be not realistic.
- 2009 Related-key attacks: AES-192 and AES-256 are broken under this model. Should we care?
- 2010-2013 Meet-in-the-middle attacks
- 2011 Biclique attacks: First theoretical attacks on full AES. Complexity is quite marginal (see them as accelerated exhaustive search).